

"Express Mail" mailing label number: EV213228052US

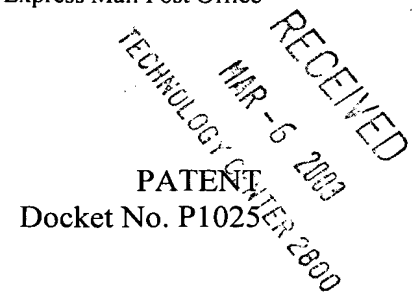
Date of Deposit: February 28, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" services under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Box RCE, Commissioner for Patents, Washington, D.C. 20231

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguilon

Signature: *Pat Aguilon*



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): ROBERT B. OGLE, JR.
MARK T. RAMSBY
TUAN DUC PHAM

SERIAL NO.: 09/607,675 EXAMINER: CUONG QUANG NGUYEN

FILED: JUNE 30, 2000 ART UNIT: 2811

FOR: DUAL PURPOSE ANTI-REFLECTIVE COATING AND SPACER FOR
FLASH MEMORY AND OTHER DUAL GATE TECHNOLOGIES
AND METHOD OF FORMING

BOX RCE
COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced patent application, transmitted herewith are the following:

1. Request for Continued Examination (RCE) Transmittal (1 page);
2. Preliminary Amendment (13 pages);
3. Marked-up Version of the Claims (4 pages);



4. Exhibit A (2 pages);
5. Exhibit B (2 pages);
6. Appointment of Associate Attorney (2 pages);
7. Petition for Extension of Time (2 pages);
8. Check No. 5947 in the amount of \$750.00;
9. Check No. 5948 in the amount of \$410.00 for extension fee; and
10. Post card in acknowledgment of receipt of all transmitted materials.

RECEIVED
MAR-6 2003
TECHNOLOGY CENTER 2800

Please date stamp and return the enclosed post card to the undersigned in acknowledgment of receipt of all transmitted materials.

Respectfully submitted,

Robert Edward Kasody
Reg. No. 50,268

REK/pa
Date: February 28, 2003
LARIVIERE, GRUBMAN & PAYNE, LLP
P.O. Box 3140
Monterey, CA 93942-3140
(831) 649-8800



EV213228052US

931

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/30 (10-01)

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/607,675
Filing Date	June 30, 2000
First Named Inventor	Robert B. Ogle, Jr.
Art Unit	2811
Examiner Name	C.Q. Nguyen
Attorney Docket Number	P1025

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on December 19, 2002
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 750.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Robert Edward Kasody	Registration No. (Attorney/Agent)	50,268
Signature	<i>Robert E. Kasody</i>	Date	February 28, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Patricia Aguillon	Date	February 28, 2003
Signature	<i>Patricia Aguillon</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

03/05/2003 MAHME1 00000085 09607675

01 FC:1801
02 FC:1252750.00 OP
~~410.00~~ OP